



Central Economic Intelligence Bureau

6th & 8th Floor, Janpath Bhawan, Janpath,
New Delhi

Dated: 26th August, 2020

TRAINING CIRCULAR

Sub: 3-days online training programme on “Investigating Economic Crimes in Securities Markets” at National Institute of Securities Markets, Navi Mumbai – reg.

A 03-days online training programme on “Investigating Economic Crimes in Securities Markets” is scheduled to be conducted by National Institute of Securities Markets (NISM) from **12th to 14th October, 2020 on Webex Platform** for officers of the rank of Income Tax Officer/Superintendent to Principal Commissioner/Principal Director.

2. Duration & Schedule of the Course:

Duration: 03 days

Schedule: From 12th to 14th October, 2020, with 6 hours training (i.e.4 lectures of 1-1/2 hours each) per day.

3. Eligibility:

Income Tax Officer/Superintendent to Principal Commissioner/Principal Director.

4. Course Content of the Training Programme:

Role and Function of SEBI; Regulatory & Legal Framework related to Securities Markets; Understanding role and function of Market Infrastructure Institutions; Primary Market Operations: Raising Funds (Equity+ Debt), public issue process and role of various intermediaries, Buy Back, Corporate Restructuring, Takeover; Secondary Market Operations: Role of Stock Exchanges, Clearing Corporations, Depositories, etc. Trading and Settlement, Risk Management, Derivatives; Algorithm, High Frequency Trading and Co-location; Role and function of intermediaries; Investment Funds, Real Estate Investment Trusts (REITs) and InViT, Surveillance and Investigations related to various kinds of manipulations, Case studies on Insider Trading and other fraudulent trade practices; Supervision of Markets & Intermediaries; Anti-Money Laundering and Combating Terrorist Financing.

5. Course Fee:

The 03 days course fee **for a batch** (not exceeding 30 participants) would be Rs.1,21,500/- inclusive all taxes. As the course fee covers only technology and faculty honorarium costs, therefore, in case the number of participants is less than 30, the per participant contribution towards the batch fee could proportionately increase.

6. Nominations:

- a) It is requested to circulate the Training Circular among all eligible officers and nominate eligible officers, one as ‘main’ and others as ‘reserve’ from each office for the said course.
- b) The supervisory officers of the participants are to send the willingness & nomination of the participants, after due vigilance check, directly to the Bureau, under the intimation to their Cadre Controlling Authority.

(Contd...2...)

c) Following details of the participants are to be provided by email at ad3-ceib@gov.in to liaison officer of the Bureau **latest by 28th September, 2020:**

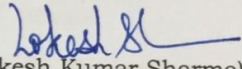
- (i) Name and Designation
- (ii) Office (With Postal Address)
- (iii) Telephone/ Mobile No.
- (iv) E-mail Id (**Compulsory**)

7. Liaison officer of Central Economic Intelligence Bureau:

Name : Shri Bhanu Prakash Meena, Assistant Director (Coord.)
Mobile No : 9899500552
E-Mail : ad3-ceib@gov.in

8. Confirmation of the Nomination:

The nominations should be **sent to Central Economic Intelligence Bureau and not to the Institute** directly. The officers shortlisted by the Bureau for participation in the programme shall be intimated to the concerned office and officers by this Bureau in due course.


(Lokesh Kumar Sharma)
Additional Director

To,
All Convener REICs, All Pr. Chief Commissioners/Chief Commissioners/Director Generals Central Excise, Customs & Service Tax (Goods and Service Tax) and All Pr. Chief Commissioners/Chief Commissioners /Director Generals Income Tax, DGCEI, DRI, ED, FIU-Ind, SFIO, CBDT, CEIB & CBIC website, Pr. Commissioners/Commissioners Central Excise, Customs & Service Tax (Goods and Service Tax)/Pr. Commissioners of Income Tax/Commissioners of Income Tax (By E-mail).