



**Government of India  
Ministry of Finance  
Department of Revenue  
Central Economic Intelligence Bureau  
6<sup>th</sup> Floor, 'B' - Wing, Janpath Bhawan  
Janpath, New Delhi 110001**

F. No. CEIB/CS/Trg/NISM/2023-24

Dated: 23.08.2023

**TRAINING CIRCULAR**

**Sub: 04-day Offline Training on "Investigating Economic Crimes in Securities Markets" to be conducted by National Institute of Securities Markets, Mumbai (NISM)- reg.**

A four-day offline training programme on "Investigating Economic Crimes in Securities Markets" is scheduled to be conducted by National Institute of Securities Markets (NISM), Mumbai from **12<sup>th</sup> to 15<sup>th</sup> September, 2023 at their campus.**

**2. Duration & Schedule of the Course:**

**Duration:** 4 days

**Schedule:** 12th to 15th September, 2023

**3. Eligibility:**

- (i) Revenue Officers of the rank of Additional Commissioner/Director to Principal Commissioner/ Director.
- (ii) Officers of Rank equivalent to (i) above

**4. Relevance of the course:**

In recent years, the stock market manipulation has increased substantially along with incorrect representation of stock market transactions in the books of account leading to tax evasion. It has further implications in terms of money laundering and siphoning off the funds. It has implications for various law enforcement agencies as many legal provisions of various Acts are violated in process of stock market manipulations including Income Tax Act,



PMLA, SEBI Act etc. The course is designed to enable the officers of Law Enforcement Agencies with necessary skill set to understand, analyse and investigate such economic offences.

**5. Course Contents:**

Introduction to Capital Markets, Quasi-Judicial Process on Securities Market Violations, Powers and Functions of SEBI under SEBI Act and Investigations, Basics of Derivatives, Surveillance of Securities Markets and Potential Manipulations in Derivative Trades – Exchange Perspective, Investigating Frauds using Forensic Tools, Case Study on Accounting Manipulations, Quantitative Tools for Investigating Securities Market Violations, Overview of SEBI (PFUTP) Regulations, Overview of Insider Trading Regulations and Case Studies related to Insider Trading, Case Study related to Mutual Funds Industry, Trading in NISM Simulation Lab.

**6. Course Fee:**

**Rs.20,541/- (including taxes) per person for the course.**

Online payment details will be intimated to the shortlisted candidates and their offices through email. **The last date for payment of applicable cost for attending the training programme will be Friday September 08, 2023.**

**7. Boarding / Lodging:**

The facility for boarding and lodging will be provided by NISM. The Lodging charges will be **Rs 11,800/-(including taxes)** and boarding charges will be **Rs 7080/-(including taxes)** for the full course of duration.

**8. Transportation:**

Candidates have to arrange their own transportation to the campus from airport and return.

**9. Nominations:**

- a) It is requested to circulate the Training Circular among all eligible officers and nominate eligible officers for the said course.
- b) The supervisory officers of the proposed participants are to send the willingness and nomination of the participants, **by email only** directly to the CEIB, under intimation to their Cadre Controlling Authority.



- c) Following details of the participants **(in MS Word / MS Excel Only)** are to be provided to the liaison officer of the Bureau **by email only** at [ad3 - ceib@gov.in](mailto:ad3-ceib@gov.in), **latest by 4<sup>th</sup> September, 2023:**

- (i) Name and Designation
- (ii) Office (With Postal Address)
- (iii) Telephone/ Mobile No.
- (iv) E-mail Id

**10. Liaison officer of the Central Economic Intelligence Bureau:**

Name : Shri Bharat Kumar Prasad, Assistant Director (Coord.)  
Mobile No : 8866819740  
E-Mail : [ad3 - ceib@gov.in](mailto:ad3-ceib@gov.in)

**11. Confirmation of the Nomination:**

- a) The **nominations should be sent to Central Economic Intelligence Bureau and not to the Training Institute.** The officers shortlisted by the CEIB for participation in the programme shall be intimated to the concerned office and officers by the CEIB in due course.
- b) Heads of the offices are requested to relieve the officers for the training programme, only after receiving confirmation of acceptance of their nominations from the CEIB.



(Vivek Gulati)

Jt. Director, (Coord. & EI-II)

**To:**

All Pr. Chief Commissioners / Chief Commissioners / Director Generals Central Excise, Customs & Central Goods and Service Tax and All Pr. Chief Commissioners/Chief Commissioners / Director Generals Income Tax, NCB, DGGI, DRI, ED, FIU-Ind, SFIO, CBDT, CEIB & CBIC websites, Pr. Commissioners / Commissioners Central Excise, Customs & Central Goods and Service Tax / Pr. Commissioners of Income Tax / Commissioners of Income Tax **(By E-mail only).**